



CUSTOMER RELATIONSHIP SUMMARY

January 1, 2023

JTA Securities Management, Inc. d/b/a Titan Securities ("Titan") is a broker-dealer registered with the Securities and Exchange Commission ("SEC"), a member of the Financial Industry Regulatory Authority ("FINRA"), and the Securities Investor Protection Corporation ("SIPC"). **As a broker-dealer, we provide brokerage services rather than investment advisory services. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences.** Free and simple tools are available to research firms and financial professionals at investor.gov/crs, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Titan Securities offers full-service brokerage services and various self-directed services to retail investors, which consist primarily of buying and selling securities and offering securities recommendations. We offer a variety of securities including some proprietary securities, but not all may be appropriate for or available to you. You may select securities or we may recommend securities to you and we can offer you additional services to assist you but the ultimate investment decision is yours.

We do not offer or provide discretionary services and are not required to monitor your portfolio or investments on an ongoing basis. We may voluntarily, and without any agreement with you, review the holdings in your account for the purposes of determining whether to provide you with a recommendation. This voluntary review is not considered to be "account monitoring," and does not create an implied agreement with you to monitor the account.

Depending on your preference, you will receive account statements in electronic or paper form. The frequency in which you receive statements and the party responsible for delivering statements depends on the investments selected.

FOR ADDITIONAL INFORMATION, please see Titan Securities' Regulation Best Interest Disclosure¹

Conversation Starters Ask your financial professional:

- ∴ ***Given my financial situation, should I choose a brokerage service? Why or why not?***
- ∴ ***How will you choose investments to recommend to me?***
- ∴ ***What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?***

What fees will I pay?

Our fees vary by the type of account or security you choose. You are charged transaction-based fees on your purchase or sale of securities in the form of commissions or transaction fees. You will be assessed fees and costs depending on the type of accounts you have and where your accounts and securities are held. These fees are charged based on the type of account you choose and include but are not limited to: custodian fees, ticket charges, account maintenance fees, and other product-level fees that are charged no less than annually. The amount you pay will depend, for example, on how much you buy or sell, what type of investment you buy or sell, and what kind of account you have with us.

Because you are charged for each trade in your account, we have the incentive to encourage you to trade more frequently. Please ask your financial professional to give you personalized information on the fees and costs that you will pay.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

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Conversation Starters Ask your financial professional:

- ∴ ***Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?***

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. When we provide any service to you, we must treat you fairly and comply with a number of specific obligations. As you work with your financial professional to determine the right investments and services to achieve your investment goals, you should understand how we are compensated. Certain sources of compensation may be familiar to you because they are directly associated with your account type or investments. Other forms of compensation, however, may not be as familiar, because they do not directly affect the amount you pay. Such as, products or services which provide revenue to us could indirectly provide incentives to financial professionals to recommend such products over similar products or services which do not provide revenue to us.

Here are some examples to help you understand what this means:

- ∴ We may recommend proprietary products issued or managed by Titan Securities or an affiliate, resulting in more compensation to our firm on purchases of these products. This gives us an incentive to recommend proprietary products before products and services issued by third parties.
- ∴ We may recommend products issued by third parties and receive compensation or other types of non-monetary benefits from them in return for recommending the products and services they issue. Certain products and services may also provide the firm with ongoing trailing commissions.
- ∴ We may receive compensation in the form of revenue sharing for investments made by our clients in affiliated or third-party products and services.

It is important to note that securities involve risk, and you may lose money. There is no guarantee that you will meet your investment goals, or that our recommended investment strategy will perform as anticipated. Please consult any available offering documents for any security we recommend for a discussion of risks associated with the product. We can provide those documents to you, or help you to find them. Our financial professionals may have conflicts of interest beyond those disclosed by us, including the potential to receive expense reimbursement, and incentives for adding assets to our platform, and those financial professionals will disclose, when appropriate, any additional material conflicts of interest no later than the time of a recommendation.

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Conversation Starters Ask your financial professional:

- ∴ **How might your conflicts of interest affect me, and how will you address them?**

How do your financial professionals make money?

Our financial professionals can offer various types of products and services and can earn more or less if a certain type of product or service is recommended. This compensation varies by product or service, therefore, financial professionals are incentivized to recommend products that have higher fees and/or ongoing payments and can be in the form of cash or non-cash compensation.

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Do you or your financial professionals have a legal or disciplinary history?

Yes, we have disciplinary events. Visit investor.gov/crs for a free and simple search tool to research us and our financial professionals.

Conversation Starters Ask your financial professional:

- ∴ **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Where can I find additional information?

For additional information about our services, please visit investor.gov, BrokerCheck (brokercheck.finra.org), our website (titansecurities.com), and, if applicable, your account agreement. Additionally, you can request up-to-date information and/or a written copy of Form CRS by calling Titan Securities at (972) 980-5920.

To report a problem to the SEC, visit investor.gov or call the SEC's toll-free investor assistance line at (800) 732-0330. To report a problem to FINRA, call (301) 590-6500. If you have a problem with your investments, account, or financial professional, contact us in writing at Titan Securities, 6100 Tennyson Pkwy Ste 240, Plano, TX 75024.

Conversation Starters Ask your financial professional:

- ∴ **Who is my primary contact person? Is he or she a representative of a broker-dealer or investment advisor? Who can I talk to if I have concerns about how this person is treating me?**

¹ Please visit <http://www.titansecurities.com/client-relationship-information.7.htm> to see Titan Securities Regulation Best Interest Disclosure.